PROTOCOL 14-0022

Oxygen Delivery Testing
Panoramic Oxygen Mask
Simulated Patient Conditions

Dave Piper, PE
Piper Medical Products
4807 El Camino Ave. Ste. C
Carmichael, CA 95608
PIPER MEDICAL

Protocol 14-0022

PROTOCOL: Oxygen Delivery Testing of the POM Panoramic Oxygen Mask Under Simulated Patient Conditions

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<th>Prepared By:</th>
<th>Date:</th>
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<tr>
<td>S. David Piper, PE</td>
<td>04/01/2014</td>
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<td>Alex Holthaus, Brennan Rose</td>
<td>04/01/2014-04/07/2014</td>
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1.0 Objective

1.1 To measure the delivered FiO2 for the POM Panoramic Oxygen Mask under simulated patient conditions

2.0 Reference

2.1 DRAFT VERSION “REVIEWER GUIDANCE FOR PREMARKET NOTIFICATION SUBMISSIONS” November 1993

2.2 GOOD LABORATORY PRACTICE REGULATIONS, USFDA (21 CFR PART 58)

2.3 PIPER MEDICAL SOP-E-131 – PRESSURE FLOW MEASUREMENT OPERATION

2.4 PIPER MEDICAL SOP-E-133 – OXYGEN SENSOR OPERATION

3.0 Acceptance Criteria

3.1 All equipment and laboratory processes used and specified will meet there predetermined operation and calibration requirements before and after testing. All testing shall be performed per GLP.
The POM Study – FiO2 Delivery

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4.0 Equipment List

4.1 POM Medical, LLC Panoramic Oxygen Mask with Medium and High concentration adapters, REF 1001 – Piper Sample ID 140317-1, 140317-2, and 140317-3

4.2 0-100 psig Pressure Gauge (E-008)

4.3 Gilmore glass float type Rotameter (E-015)

4.4 Low Flow Rotameter (E-082)

4.5 AccuLAB Standard Electronic Balance TS series (E-002)

4.6 Vacuum source (in-house)

4.7 Compressed gas source (in-house)

4.8 Oxygen source (in-house)

4.9 CO2 source (in-house)

4.10 Velleman Digital Oscilloscope (E-154)

4.11 Ohmeda 5200 CO2 Monitor (E-132)

4.12 Data Acquisition System

4.13 Humidity/Temperature Meter (E-100)

4.14 Oxygen Sensor (E-081)

4.15 Harvard Respiratory Pump (E-053)

4.16 Wright Respirometer (E-004)

4.17 Mannequin Head (0.875” ID oral cavity, head width = 6.0”)
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Testing Procedure

5.1 Set Up
5.1.1 Connect the Harvard Respirator pump as shown in figure 1. Run a line from the CO2 source to the inspiration limb of the Harvard Pump on the piston side of the inspiration check valve as shown.
5.1.2 Use mannequin head for a simulated patient head.
5.1.3 Set the Harvard Pump and oxygen flow to the desired settings per table 1. Prior to placing each sample set the ETCO2 level to 3%. Take FiO2 measurements.

Figure 1 showing the patient simulation setup used for testing
The POM Study – FiO2 Delivery

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Simulated Respiratory Settings

<table>
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<tr>
<th>Respiratory Rate</th>
<th>12 bpm</th>
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<tbody>
<tr>
<td>Tidal Volume</td>
<td>400 ml</td>
</tr>
<tr>
<td>I:E Ratio</td>
<td>1:1</td>
</tr>
<tr>
<td>End Tidal CO2</td>
<td>3%</td>
</tr>
</tbody>
</table>

Table 1 showing the respiratory settings used for testing.

Figure 2 showing a picture of the setups used during testing. The medium concentration adapter configuration is showed on the left, the high concentration adapter configuration is showed on the right.

5.1.4 Attach a 0.125” OD sensing oxygen line to mannequin head and position the sensing end directly centered over the 0.875” ID simulated oral cavity of the mannequin head. Sample through the line to the oxygen and CO2 sensor (10 ml/min each) using a vacuum source. End tidal CO2 values shall be set with out the mask in place so as to simulate normal expected breathing.

5.1.5 Once CO2 flow has been set to the desired end tidal CO2 value, the mask will be adjusted to the desired oxygen flow rate and placed on mannequin head.

5.1.6 Two sets of measurements will be performed, one set will be with the sample masks equipped with a medium concentration adapter as described in the production instructions. The other identical set of tests will be performed on the same sample masks equipped with a high concentration adapter as described in the product instructions. Testing of the medium concentration adapter configuration will occur at 8, 10, and 12 l/min oxygen flow as described in product instructions. Testing of the high concentration adapter configuration will occur at 10, 12, and 15 l/min oxygen flow as described in the product instructions.

5.1.7 Each sample will be tested once in each configuration at each oxygen flow rate.
5.2 Testing
5.2.1 Allow system to equilibrate for at least 3 minutes prior to taking each reading. Test each sample once for FiO2.
5.2.2 After allowing each setting 3 minutes to equilibrate obtaining an FiO2 measurement.
5.2.3 Tabulate combined data and perform a comparison. Discuss results and reference results to acceptance criteria.
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6.0 RESULTS

POM (Panoramic Oxygen Mask) FiO2 Results
Rate = 12 BPM, TV = 400 ml, I:E Ratio = 1:1, ETCO2 % = 3%

<table>
<thead>
<tr>
<th>Medium Oxygen Flow Adaptor</th>
<th>O2 Flow = 8 l/min</th>
<th>O2 Flow = 10 l/min</th>
<th>O2 Flow = 12 l/min</th>
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<tbody>
<tr>
<td>1</td>
<td>78.4%</td>
<td>84.4%</td>
<td>87.6%</td>
</tr>
<tr>
<td>2</td>
<td>76.8%</td>
<td>83.8%</td>
<td>88.1%</td>
</tr>
<tr>
<td>3</td>
<td>77.5%</td>
<td>82.0%</td>
<td>85.2%</td>
</tr>
<tr>
<td>Mean</td>
<td>77.6%</td>
<td>83.4%</td>
<td>87.0%</td>
</tr>
<tr>
<td>Std Dev</td>
<td>0.8%</td>
<td>1.2%</td>
<td>1.6%</td>
</tr>
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<table>
<thead>
<tr>
<th>High Oxygen Flow Adaptor</th>
<th>O2 Flow = 10 l/min</th>
<th>O2 Flow = 12 l/min</th>
<th>O2 Flow = 15 l/min</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>89.2%</td>
<td>91.6%</td>
<td>95.6%</td>
</tr>
<tr>
<td>2</td>
<td>88.4%</td>
<td>92.2%</td>
<td>97.1%</td>
</tr>
<tr>
<td>3</td>
<td>87.0%</td>
<td>90.1%</td>
<td>93.8%</td>
</tr>
<tr>
<td>Mean</td>
<td>88.2%</td>
<td>91.3%</td>
<td>95.5%</td>
</tr>
<tr>
<td>Std Dev</td>
<td>1.1%</td>
<td>1.1%</td>
<td>1.7%</td>
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Table 2 showing the oxygen (FiO2) delivery results for the two device configurations.
7.0 DISCUSSION

All equipment and laboratory processes met their specifications and requirements before and after testing. Oxygen measurements were calibrated at 21% and 100% before testing. After testing, calibration curves were verified. The acceptance criteria of the test were met.
Conclusion of Piper Medical O2 Delivery Study

The overall results from the Piper Medical FiO2 study, has exceeded POM Medical’s expectations of the anticipated results. In a simulated (spontaneously) breathing patient, the medium concentration POM model was able to achieve up to 88.1% FiO2 delivered to the patient.

Given the exact same protocol performed with the high concentration model, The POM was able to achieve an outstanding 97% FiO2 delivered to the patient. These results greatly supersede the functionality of other traditional oxygen masks as well as nasal cannulas.

The POM can outperform most free flowing oxygen masks on the market. With The POM's ability to provide ultimate “panoramic access” to the patient’s nose or mouth while monitoring end-tidal CO2, and also providing these high FiO2 percentages, it can be easily concluded that the Panoramic Oxygen Mask will become the standard of care for all upper endoscopy procedures under conscious sedation.

Note: POM Medical was unable to find evidence of any free flowing oxygen mask on the market today that can claim a FiO2 delivery of over 90% (oxymask).

It is our hope that you have found this study beneficial in examining the FiO2 functionality of The Panoramic Oxygen Mask.
Benefits of The Panoramic Oxygen Mask

- It is a much safer alternative device than the commonly used nasal cannula. It provides more than double the FiO2 percentage to the patient during the procedure creating increased oxygen saturation.

- Complies with the ASA guidelines for end tidal CO2 monitoring during.

- Facilitates deeper sedation eliminating interruptions during the procedure and decreases hypoxic events.

- It is disposable reducing cross contamination and sterilization cost.

- Cost effective. It uses a simple oxygen outlet reducing the need for a circuit and ventilator as well as the need for expensive positive pressure endoscopy masks.

- Doesn't change clinical practice. It is as simple to put on as its alternative and easy to use.

- The only mask available with two anatomically placed entry points or membranes. These two modifiable membranes allow for many different size scopes, tubes, or probes.

- It is extremely versatile for many types of procedures.

- Eliminates the cumbersome maintenance of positive ventilation equipment and procedures.

- Reusable on the same patient after procedure.